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## ABSTRACT

This paper provides background on building the "Connecticut Digital Gazetteer." The paper begins by describing primary research initiatives, including a 1998 workshop convened by the National Academy of Science's Mapping Science Committee to build a national vision of a geolibrary and a 1999 workshop at the Smithsonian Institution to study digital gazetteers. The definition and scope of digital gazetteers are also addressed. The paper then describes building the Connecticut Digital Gazetteer, including technical considerations, identifying spatial extents of populated places, the Connecticut History Online (CHO) project, mapping the CHO database, accessing CHO through a spatial interface, and the metadata framework. (Contains 12 references.) (MES)



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### Naming the Landscape: Building the Connecticut Digital Gazetteer

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### Introduction

*Ubi* or Where is...?

It is doubtful there is a map librarian alive (or dead for that matter) who has not pondered a scrap of a map and wondered... where... is it from, does it go, or does it show?

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When one puts in hours on the university library's general reference desk, the prevailing question is... *ubi?* For example, where can I find a poetry criticism, a citation, and an article on the national energy policy... *ubi?*

It is reasonable to go to a map when we ask, "*ubi.*" But when we go to the library catalog and ask "where," we must do it with a textual search. A map, however, illustrates topological relationships; relationships of 'near-ness,' 'in-ness' and 'far-ness.' Text does not. Text does not indicate that Chicago and China are worlds apart; in fact, the text-based catalog puts them rather close to each other, alphabetically, on the screen. A map interface would indicate where Chicago and China are in relation to each other, and would indicate that Zinjiang is in China... even though it is quite far from it in the catalog. A map interface, a graphical... cartographic interface has the potential of enhancing access to the catalog for many users.

In order to use a cartographic interface to textual place-names in an on-line catalog we must provide the names with spatial awareness. The names must be in a coordinate system. In order to make use of topological relationships, the place-names must have areal geometry from which spatial neighbors can be derived. A digital gazetteer must be built which geographically enables the on-line public access catalog (OPAC). Such a tool has precedence in the Digital Library and Digital mapping research initiatives of the past decade.

In this paper we aim to provide a basic background of building the *Connecticut Digital Gazetteer* by briefly describing some of the primary research initiatives such as distributed geolibraries and the development of digital gazetteers. We will then describe the processes and framework for building the *Connecticut Digital Gazetteer*. We will conclude by providing a vision of digital gazetteers.

### **Distributed GeoLibraries**

In June, 1998 the National Academy of Science's Mapping Science Committee convened a workshop to explore:

- a vision for geospatial data dissemination and access in 2010
- comparisons of different efforts in digital library research, clearinghouse development and other data distribution
- suggestions of short and long term research needs
- identification of policy and institutional issues

"The Mapping Science Committee serves as a focus for external advice to federal agencies on scientific and technical matters related to spatial data handling and analysis. The purpose of the committee is to provide advice on the development of a robust national spatial data infrastructure for making informed decisions at all levels of government and throughout society in general."<sup>ii</sup>

The National Academy of Sciences' Mapping Science Committee (MSC) has been directing research initiatives since its 1993 report *Toward a Coordinated Spatial Data Infrastructure for the Nation*<sup>ii</sup> set the stage for the National Spatial Data Infrastructure (NSDI). The report established the Federal Geographic Data Committee (FGDC) and the FGDC's Spatial Metadata Content Standards. Subsequent MSC reports have addressed specific components of the NSDI, including: partnerships in *Promoting the National Spatial Data Infrastructure Through Partnerships*,<sup>iii</sup> in 1994, basic data types in *A Data Foundation for*

*the National Spatial Data Infrastructure*<sup>iv</sup> in 1995, and future trends in *The Future of Spatial Data and Society*<sup>v</sup> in 1997 and most recently *Distributed Geolibraries; Spatial Information Resources*<sup>vi</sup> in 1999.

The June 1998 workshop met to build a national vision of a GeoLibrary. The participants asked:

- What will it take to build distributed geolibraries?
- What economic incentives can be put in place such that stakeholders in all sectors of the community (business, education, and government) can and will participate?
- What arrangements need to be put in place in the form of institutions, regulations, standards, protocols, committees, and so forth?
- What research needs to be done to address problems and issues for which no methods or solutions currently exist?
- What data sets need to be constructed, and what mechanisms might be used?
- What software needs to be written, and who is likely to write it?

These are the questions that are driving current national and international research agendas in the mapping sciences. The digital gazetteer has emerged as part of that research agenda.

### **Digital Gazetteers**

A preliminary study of digital gazetteers was held at the Smithsonian Institution in Washington, D.C. in October 1999. The goals of the two-day workshop were to (1) develop an understanding of the potential of indirect spatial referencing of information resources through geographic names and (2) to identify the research and policy issues associated with the development of digital gazetteer information exchange.

The development of interchangeable sets of geographic name data (gazetteers) and interoperable gazetteer services could result in a major improvement in seamless access to and use of a wide variety of information resources through indirect geospatial referencing. The two-day workshop was convened (1) to develop an understanding of the potential of indirect spatial referencing of information resources through geographic names and (2) to identify the research and policy issues associated with the development of digital gazetteer information exchange. -- The vision developed at the workshop is the Digital Earth metaphor for organizing, visualizing, accessing, and communicating information provides a powerful enabling framework for marshalling the resources needed to understand and mediate environmental and social phenomena.<sup>vii</sup>

### **Definition and scope of digital gazetteers**

With three key attributes, a gazetteer supports several functions of an information retrieval system:

- It answers the "Where is" question (for example, "Where is Storrs?") by showing the location on a map.
- It translates between geographic names and locations so that a user of the information system can find collection objects through matching the footprint of a geographic name to the footprints of the collection objects. For example, "What aerial photographs cover parts of Tolland County?"

- It allows a user to locate particular types of geographic features in a designated area. For example, the user can draw a box around an area on a map and find the schools, hospitals, lakes, or rivers in the area.

Beyond these basics, a digital gazetteer needs to support:

- the representation of variant names
- information about the names such as authority, etymology, source, and time span for the use of the names geographic footprints (coordinates representing point, bounding box, polygonal, and linear features) information about footprints such as accuracy, measure method, source, and time span
- descriptive text
- data such as population and elevation, and
- relationships between named places (e.g., an IsPartOf relation between a city and a county).

## Building the Connecticut Digital Gazetteer

### Technical Considerations

We imported GNIS data from the Alexandria Digital Library (ADL)<sup>viii</sup> and stored the data in a local Oracle database. The ADL gazetteer, designed by University of California, Santa Barbara, is a relational database schema<sup>ix</sup> based on the ADL Gazetteer Content Standard<sup>x</sup> and implemented on an Informix RDBMS. Although Informix and Oracle are both relational databases, to import data from Informix database to the Oracle database directly is not convenient. We used XML data format as middleware for importing the Geographic Name Information System (GNIS) thesaurus (described below). XML is become the standard for information interchange, due to its flexibility and simplicity. The designation of both DTDs for the XML documents and the relational database schema are based on ADL Gazetteer Content Standard, however, there where some differences between the relational database schema and the DTD for XML data. So to import XML data into our Oracle database, our solution proceeded in three steps:

1. We parsed the XML documents to machine-recognizable elements. We used Xerces-1\_0\_3 which is a XML parser from Apache<sup>xi</sup> to do the parsing.
2. We built the database schema for our local Oracle system. Since the designation of the relational database schema ADL gazetteer use is based on the gazetteer content standard, and the schema provides portability to all RDBMs, we borrowed the schema and use it on our Oracle system.
3. We observed the difference between the DTD and database schema, and developed a mapping method that maps the XML data into our Oracle database.

To extend our GNIS database, we added data from *Connecticut Place Names* (CPN) gazetteer of historical place names. Here we also proceeded in three steps:

1. We scanned the whole book and used OCR to recognize its characters and saved the data in text files.
2. We built a parser with Java code which parsed the text file and extracted three types of data for each record of place, they are 'place name,' 'geographic type of the place,' and 'citations' for each record.
3. Finally we joined the CHS data to the existing records in GNIS database and stored them in related fields.

## Identifying Spatial Extents of Populated Places

The GNIS has identified many types of geographic features as points on a map, including Populated Places (ppl) and streams. It is possible to find the spatial extents of many of those named features using Geographic Information Systems (GIS) software and geospatial data.

Of the many themes of geospatial data available, the one that has been extremely useful in identifying the spatial extents has been the Land Use / Land Cover (LU/LC) data classified from the LANDSAT Thematic Mapper satellite imagery. This classification was done by the University of Connecticut's Laboratory for Earth Resource Information Systems (LERIS) within the Department of Natural Resources Management and Engineering. The resulting data set is a 1998 LU/LC grid of 30 by 30-meter cells covering the entire state of Connecticut. The data have been processed into 28 classes, including residential, commercial, farmlands, forests, etc.

Using this data we can identify cells which we consider urban centers or built up areas by aggregating the following four classes of data into polygons: urban residential, medium residential, rural residential and tree and turf complex. Most of these polygons can then be considered populated places. Then, using capabilities available in GIS and database management utilities, such as spatial overlays and text joins, polygons can be selected or excluded and assigned the information from the GNIS ppl points. Thus, we are transferring the named point to an inferred polygon.

Problems may occur when the urban centers are not separated from each other in the LU/LC data due to connected development or urban sprawl. In this case a single polygon may contain several ppl points. Clipping the polygon by known administrative boundaries can sometimes solve this problem. In Connecticut, these are town boundaries. Other times a named ppl will occur where there is no identifiable development in the LU/LC data. In this case the ppl may be a historical place, or a cartographic "locator" with not enough development to be identified. We have found that a significant number of these Populated Places are road intersections that may have been more populous or otherwise significant in the past.

Identifying streams is an easier task since the Connecticut Department of Environmental Protection (ConnDEP) has processed the hydrographic data set (vectors from USGS 1:24,000 Digital Line Graphs) from the GNIS. Similar processes of text joins and spatial overlays can be used to merge the GNIS identification numbers to these streams and to place the GNIS names into unnamed or misnamed streams. Problems arise where there are gaps in the streams due to lakes or marshes that have not been identified by the ConnDEP as part of the stream.

Some problems arise when the urban centers are not separated from each other in the LULC data due to connected development, or urban sprawl. In this case a single polygon may contain several ppl points. Clipping the polygon by known administrative boundaries can sometimes solve this problem. In Connecticut these are town boundaries. Other times a named PPL will occur where there is no identifiable development in the LULC data. In this case the PPL may be a historical place, or a cartographic "locator" with not enough development to be identified. We have found that a significant number of these ppls are "Corners" which may have been more populous in the past.

## Connecticut History Online

In 1999 the Thomas J. Dodd Research Center at the University of Connecticut, the Connecticut Historical Society and the Mystic Seaport Museum partnered in the Connecticut History Online project.<sup>xii</sup> The project is partially funded by a National Leadership Grant from the Institute of Museum and Library Services (IMLS). The current collaborative grant will contain a total of about 14,000 historical images



from the partners' historical photographic collections. These images can be searched or browsed in variety of ways, including by keyword, subject, creator, title and date. Geographical sites may be searched using a digital gazetteer developed by the University of Connecticut's Map and Geographic Information Center. Descriptions of the images are included in detailed cataloging records.

The selection criterion included knowing the geographic place of the photograph. Selectors were asked to select only those photographs whose place was identified. The catalogers, working with the MARC format, noted the place in locally adapted 651 field. The 651 field provides the most 'hooks' for a digital gazetteer, particularly the 2nd indicator '7' and the delimiter '2' 'Source of heading or term.' enable the Digital Gazetteer to process most efficiently.

The catalogers were instructed to use one of four thesauri, *gnis*, the Geographic Name Information System, *tiger*, the Topologically Integrated Geographically Encoded and Referenced, *chs*, the Connecticut Historical Society's Connecticut Place-names and a *local* thesaurus in the delimiter 2 of the 651 field. The *gnis* includes all of the words on the USGS topographic map series and is in a standard format. It is available online from the US Geological Survey <http://mapping.usgs.gov/www/gnis/gnisform.html> and from the "Getty Thesaurus of Geographic Names" [http://shiva.pub.getty.edu/tgn\\_browser/](http://shiva.pub.getty.edu/tgn_browser/). The *chs* is a scholarly, comprehensive study of historical place names, it is in print format only, but will be scanned and entered into Connecticut Digital Gazetteer. The *tiger* thesaurus is a database of street name and auto address location. The Official TIGER look-up site is <http://www.census.gov/cgi-bin/gazetteer> and MapQuest, a value-added site is at <http://www.mapquest.com/>. Items in the *local* thesaurus are compiled by the catalogers and added to the Connecticut Digital Gazetteer as needed.

### Mapping the CHO database

At present the CHO database numbers in excess of 4,500 records. These records list over 6,500 instances of place names. There seemed to be two methods for providing spatial access to the CHO Online Public Access Catalog (OPAC). One, an 'ambiguous search' would search for place-name strings against the 651 of the OPAC. This method might enable the user to search for the place-name 'Mansfield' and all of the named features in 'Mansfield'. This method was determined to be inefficient. The other method, a 'specific search' provided for pre-processing the names in the 651 field. The 6,750± instances of names represent only 627 different names. For example, there are close to 500 instances of the place-name 'Hartford.' By structuring a specific search for Hartford and more efficient use of the Connecticut Digital Gazetteer, the OPAC and the HTML interface is possible.

An SQL process is performed on the CHO database in the Endeavor system. The query process pulls each instance of a 651 field and the 653 field. The 653 field describes a category; Diversity, Infrastructure, Environment, Lifestyle, and Livelihood designated by the selector under the design of the grant. After the initial SQL process that extracts the place-names from the CHO database as a table, a series of processes are performed. First, a table is created for each category. Second, a table for each thesaurus is created for each category. Third, each instance of a place-name is counted and the count entered in a field. Finally a URL is constructed from the place-name and the category as a 'scripted search.' These tables are then geo-referenced using ArcView; the *gnis*, *local* and the *chs* against the Connecticut Digital Gazetteer, the *tiger* against the TIGER Street file. These coverages are then referenced through an ArcIMS project.

### Accessing CHO through a Spatial Interface

We are using ArcIMS on an NT server to link to the CHO OPAC. When the user determines their geographic choice, a 'scripted' query is sent to the OPAC. The script is in the form

<http://cho.uconn.edu/cgi-bin/Pwebrecon.cgi?DB=local&SAB1=hartford&BOOL1=any+of+these&FLD1=Place+Name+%28651A%29&GRP1=NOT+with+next+set&SAB2=east+hartford&BOOL2=as+a+phrase&FLD2=Place+Name+%28651A%29&GRP2=NOT+with+next+set&SAB3=west+hartford&BOOL3=as+a+phrase&FLD3=Place+Name+%28651A%29&GRP3=AND+with+next+set&SAB4=diversity&BOOL4=any+of+these&FLD4=Category+%28653A%29&CNT=25&HIST=1>. This is a particularly difficult example. It indicates the complications of compound place-names like 'Hartford,' 'East Hartford,' and West Hartford.' This search is for 'Hartford' only. The ArcIMS server is a simple search engine with few tools. It is primarily a cartographic interface, not a GIS application.

### The Metadata Framework

The quality, consistency, and of course, the very presence of geographic coding within any given metadata are key variables for the retrieval of relevant data when using a digital cartographic interface to query a database or multiple databases. For example, the MARC21 map format contains several 'mappable' or geographic elements such as the coded cartographical mathematical data in the 034 field. This field holds the geographic coordinates that define the limits of the map being described. However, there are also other fields that can be mapped. These include the 052 geographic classification code and the 651 subject added entry--geographic name fields. Also, beyond the MARC21 maps format, other subject added entries within the cataloging records for other formats often have geographic coding when they have geographic subdivisions assigned. This raises the possibility of being able to reference this data using a digital cartographic interface as well. In other words, a web based map search interface could potentially serve as a portal to large amounts of geographically coded data including bibliographic data.

The metadata created for the Connecticut History Online project is an example of geographically coded data that may be queried from both an online catalog or from a map interface. The catalogers for the project used Endeavor's *Imageserver* software to input metadata for each image within the MARC21 format. Within each metadata record, there is at least one 651 subject added entry. The following thesauri are being used to determine the subject added entries: gnis, tiger, chs, ipc, lcsh, local.

In the recent past, only a few non-graphical interfaces exploit the geographic coding of metadata for searching databases. *GEODEX* and now Endeavor *Voyager's* "Geospatial Search" option. While Endeavor's *Voyager* has a "Geospatial Search" it requires the latitude/longitude of the query point and only searches material cataloged in the MARC21 Maps format, using the 034 field... correctly. Though Endeavor's intentions seem reasonable, few of us, much less your typical undergraduate user, know the Lat/Long point of anywhere. The first step in using these tools is the consultation of a paper gazetteer.

One challenge is maintaining a high percentage of relevant hits within the query results while attempting to expand the comprehensiveness of the search.

The problem has been the underlying cartographic database that represents the geometry of the place and the interface.

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<sup>i</sup> Mapping Science Committee. [http://www4.nas.edu/cger/besr.nsf/web/mapping\\_science?OpenDocument](http://www4.nas.edu/cger/besr.nsf/web/mapping_science?OpenDocument)

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